



# JAVERI FISCAL SERVICES LTD.

MEMBER : BSE LTD. / NATIONAL STOCK EXCHANGE OF INDIA LTD.

CIN : U67120MH1992PLC069813

## Policy of Javeri Fiscal Services Ltd (JFSL) on treatment of inactive trading account of the clients

### 1. Definition

- Inactive client means client such accounts wherein no trades have been carried out since last 12 (Twelve) months across all Exchanges.

### 2. Transaction in inactive trading accounts

- The clients who have not traded since last twelve months is flagged as 'Inactive' by the JFSL in all the UCC database of the respective Exchanges/DP. We also ensure that further trading by such clients shall be allowed only after undertaking sufficient due diligence and after obtaining the updated information related to KYC from the concerned clients. Appropriate action also initiated in case of any trades is executed in any account which is flagged as inactive.

3. JFSL ensure that the correct status of the client code is uploaded in the UCC database of the Exchanges/DP prior to the execution of the trades.