



# N. J. KARIA & ASSOCIATES

## CHARTERED ACCOUNTANTS

409, DEV PLAZA, OPP FIRE BRIGADE, S V ROAD, ANDHERI (W), MUMBAI - 400 058  
Telefax : +91-22-26234437 • Cell : +91-8422967711 • E-mail : njkaria@gmail.com

### Annual Compliance Certificate-client level Segregation

Name of BASL enrolled Investment Adviser	<b>JAVERI FISCAL SERVICES LTD</b>
BASL Membership ID.	1486
SEBI Registration No.	INA000010070
Annual compliance certificate –client level segregation for FY ended	2021-2022

As per the guidelines issued by SEBI vide circular no. SEBI/HO/IMD/DF1/CIR/P/2020/182 dated September 23, 2020 Investment Advisers need to obtain and maintain on record an annual certificate from an auditor (in case of Individual IA) and its statutory auditor (in case of a non-individual IA) within six months of the end of the financial year, confirming compliance with the client level segregation requirements as specified in the IA Regulations. The said certificate as per specified SEBI circular shall form part of the aforesaid compliance audit report in terms of Regulation 19 (3) of the IA Regulations.

We confirmed that, as per the above guidelines of SEBI & Regulation 19 (3) of the IA Regulations, requirement of annual compliance certificate –client level segregation, **Javeri Fiscal Services Ltd** has not yet started any activity since the date (i.e. March 15, 2018) of registration avail as IA.

#### For N J Karia and Associates

Chartered Accountants  
Firm Reg No 106742W

**Dipika G Patel**  
Partner  
Membership No 146359



Place: Mumbai  
Date: 30/09/2022  
UDIN: 22146359AXUOUJ6751